

#12/ Reg for
Refund
08-20-02

OFFICE OF FINANCE
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SARKOZY, et al. Attorney Docket: STO-05RI

Filed: 04/12/2001 Examiner: Kim

Serial No.: 09/832,812 Art Unit: 2185

For: Apparatus and Method for Storing Data with Selectable Data Protection Using Mirroring and Selectable Parity Inhibition

REQUEST FOR REFUND UNDER 37 C.F.R. § 1.26

Commissioner For Patents
Box 16
Washington, DC 20231

ATTENTION: Refund Section,
Accounting Division, Office of Finance

MAILING CERTIFICATE 37 C.F.R. § 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Box 16, Washington, D.C. 20231.

Natalie D. Swider
Natalie D. Swider

6/18/02
Date

Dear Sir:

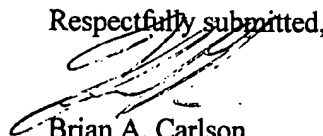
Applicants respectfully request a refund for overpayment of fees. Applicants filed a Preliminary Amendment on February 19, 2002. Please see the attached copy of the Return Receipt Postcard. Enclosed with the amendment was a check in the amount of \$5,382.00 (as verified on the return postcard) for the cost of the claims with fee assessments for a large entity. Please see the attached copy of the Fee Transmittal Form for verification. This check was endorsed by the Patent and Trademark Office on February 27, 2002. It was then stamped on February 28, 2002, by the First Virginia Bank. A copy of both sides of the check is enclosed.

On April 23, 2002, Applicants' Attorney's Deposit Account No. 50-1065 was charged a total of \$2,691.00 (\$1,512.00 and \$1,179.00) for Docket No. STOCOMP05AUS (the present case). That amount equals small entity status fee assessments for the same amendment already paid by check as mentioned above. A redacted copy of the Monthly Statement of Deposit Account for Deposit Account No. 50-1065 is also enclosed.

Because Applicants were charged twice for the same amendment, Applicants request that a refund be made by crediting the amount of \$2,691.00 to Deposit Account No. 50-1065 to reimburse the overpayment.

If you have any questions, please contact the Applicants' Attorney at the number listed below. Thank you for your assistance.

Respectfully submitted,



Brian A. Carlson
Attorney for Applicant
Reg. No. 37,793

Slater & Matsil, L.L.P.
Suite 1000
17950 Preston Road
Dallas, Texas 75252
(972) 732-1001
fax: (972) 732-9218

The stamp of the USFTO, placed hereon, acknowledges receipt of:

Applicants: Sarkozy, *et al.* Attorney Docket: STO-05RI

Serial No: 09/832,812 Art Unit: 2185

Date Filed: April 12, 2001 Date Hand Delivered: February 19, 2002

TITLE: Apparatus and Method for Storing Data with Selectable Data Protection Using Mirroring and Selectable Parity Inhibition

Documents Enclosed:

- Transmittal Form (1 page)
- Notice of Litigation (7 pages)
- Notice of Litigation Dismissal (4 pages)
- Supplemental Preliminary Amendment (64 pages)
- Amended Drawings (4 pages)
- Fee Transmittal (1 original and 1 copy)
- Check in the amount of \$5,382.00
- Receipt Card

Received

FEB 19 2002

Technology Center 2100

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/832,812

Filing Date 4/12/2001

First Named Inventor Sarkozy, et al.

Examiner Name TBD

Group Art Unit 2185

Attorney Docket No. STO-05RI

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 5,382.00)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number
Deposit Account Name

50-1065

Slater & Matsil, LLP.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	370	Utility filing fee	
106	206	330	165	Design filing fee	
107	207	510	255	Plant filing fee	
108	208	740	370	Reissue filing fee	
114	214	160	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
165	34**	131 x \$18 =	\$2358
44	8**	36 x \$84 =	\$3024
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	203	18	9	Claims in excess of 20	
102	202	84	42	Independent claims in excess of 3	
104	204	280	140	Multiple dependent claim, if not paid	
109	209	84	42	**Reissue independent claims over original patent	
110	210	18	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$5,382.00)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
139	130	139	130	Non-English specification		
147	2,520	147	2,520	For filing a request for ex parte reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for reply within first month		
116	400	216	200	Extension for reply within second month		
117	920	217	460	Extension for reply within third month		
118	1,440	218	720	Extension for reply within fourth month		
128	1,960	228	980	Extension for reply within fifth month		
119	320	219	160	Notice of Appeal		
120	320	220	160	Filing a brief in support of an appeal		
121	280	221	140	Request for oral hearing		
138	1,510	138	1,510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidable		
141	1,280	241	640	Petition to revive - unintentional		
142	1,280	242	640	Utility issue fee (or reissue)		
143	460	243	230	Design issue fee		
144	620	244	310	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Processing fee under 37 CFR 1.17(q)		
126	180	126	180	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)		
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
179	740	279	370	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone	Date
Steven H. Slater	35,361	972-732-1001	18 FEB 02
Signature			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SLATER & MATSIL, L.L.P. 08-99
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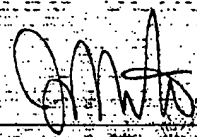
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ORDER OF

Commissioner for Patents

\$ **5,382.00

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Commissioner for Patents
Washington DC 20231



MEMO

Amendment fee for 89/812,812 (STO-05RI)

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MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and
return top portion with your check. Make check
payable to Commissioner of Patents & Trademarks.

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Slater & Matsil L.L.P.

MAY 23 2002

FINA

SLATER & MATSIL, LLP
IRA S MATSIL
17950 PRESTON RD
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DALLAS TX 75252

Account No.	501065
Date	4-30-02
Page	1

PLEASE SEND REMITTANCES TO:
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
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4	23	02	2	09832812	STOCOMP05AUS	209	1512.00	
4	23	02	3	09832812	STOCOMP05AUS	210	1179.00	